



Patrick W. Henning, Director
January 30, 2008
22M:385:DEJ:8041



Arnold Schwarzenegger
Governor

Mr. Lee Ferrero
San Luis Obispo County Private Industry Council
Creekside Career Center
4111 Broad Street
San Luis Obispo, CA 93401

Dear Mr. Ferrero:

**WORKFORCE INVESTMENT ACT
85-PERCENT PROGRAM REVIEW
FINAL MONITORING REPORT
PROGRAM YEAR 2007-08**

This is to inform you of the results of our review for Program Year (PY) 2007-08 of the San Luis Obispo Private Industry Council's (SLOPIC) Workforce Investment Act (WIA) 85-Percent program operations. We focused this review on the following areas: Board composition, One-Stop delivery system, program administration, WIA activities, participant eligibility, local program monitoring of subrecipients, grievance and complaint system, and management information system/reporting.

This review was conducted by Mr. David Jansson from January 7, 2008 through January 11, 2008.

Our review was conducted under the authority of Sections 667.400 (a) and (c) and 667.410 of Title 20 of the Code of Federal Regulations (20 CFR). The purpose of this review was to determine the level of compliance by SLOPIC with applicable federal and state laws, regulations, policies, and directives related to the WIA grant regarding program operations for PY 2007-08.

We collected the information for this report through interviews with SLOPIC representatives and service provider staff. In addition, this report includes the results of our review of selected case files, SLOPIC's response to Section I and II of the Program On-Site Monitoring Guide, and a review of applicable policies and procedures for PY 2007-08.

BACKGROUND

SLOPIC was awarded WIA funds to administer a comprehensive workforce investment system by way of streamlining services through the One-Stop delivery system. For

PY 2007-08, SLOPIC was allocated: \$305,613 to serve 41 adult participants; \$503,835 to serve 86 youth participants; and \$366,060 to serve 51 dislocated worker participants.

For the quarter ending September 30, 2007, SLOPIC reported the following expenditures for its WIA programs: \$41,527 for adult participants; \$66,207 for youth participants; and \$43,021 for dislocated worker participants. In addition, SLOPIC reported the following enrollments: 28 adult participants; 75 youth participants; and 51 dislocated worker participants. We reviewed case files for 24 of the 79 Adult and Dislocated Worker participants enrolled in the WIA program as of January 7, 2007.

PROGRAM REVIEW RESULTS

We conclude that, overall, SLOPIC is meeting applicable WIA requirements concerning its program operations.

This report contains no findings or concerns; therefore, we are issuing this report as the final report.

Because the methodology for our monitoring review included sample testing, this report is not a comprehensive assessment of all of the areas included in our review. It is SLOPIC's responsibility to ensure that its systems, programs, and related activities comply with the WIA grant program, Federal and State regulations, and applicable State directives. Therefore, any deficiencies identified in subsequent reviews, such as an audit, would remain SLOPIC's responsibility.

Please extend our appreciation to your staff for their cooperation and assistance during our review. If you have any questions regarding this report or the review that was conducted, please contact Mr. Jim Tremblay at (916) 654-7825 or Mr. David Jansson at (916) 654-7690.

Sincerely,



JESSIE MAR, Chief
Compliance Monitoring Section
Compliance Review Division

cc: Shelly Green, MIC 45
Jose Luis Marquez, MIC 50
Don Migge, MIC 50
Lydia Rios, MIC 50